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5-21-02

PATENT APPLICATION
Attorney Docket No. Q67825

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



re application of

Joerg DREISTEIN, et al.

Appln. No. 10/036,359

Confirmation No.: 5450

Filed: January 07, 2002

For: COATING OF OPTICAL ELEMENTS, IN PARTICULAR FOR USE WITH
ULTRAVIOLET LIGHT

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

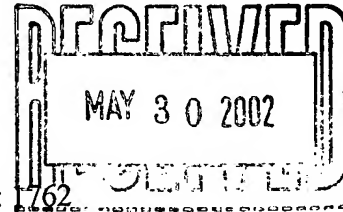
Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a



Group Art Unit: 1762

Examiner: Not Yet Assigned



INFORMATION DISCLOSURE STATEMENT

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request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

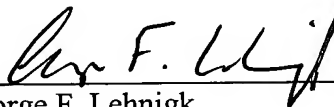
In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication (European Search Report) from a Foreign Patent Office in a counterpart application citing such documents, together with an English-language version (if not already included) of that portion of the Communication indicating the degree of relevance found by the foreign office.

Also, certain foreign language documents cited in the European Search Report have U.S. Patent counterparts which are being submitted in lieu of the cited documents, as follows:

WO 99 31714 A = US 6,270,582

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,


George F. Lehnigk
Registration No. 36,359

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860
GFL:plr
Date: May 28, 2002

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

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Complete if Known

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Filing Date	January 07, 2002
First Named Inventor	Joerg DREISTEIN
Art Unit	1762
Examiner Name	Not Yet Assigned
Attorney Docket Number	Q67825

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 5,314,541		5/24/1994	SAITO, et al
		US 6,146,135		11/14/2000	WATANABE, et al
		US 4,379,743		4/12/1983	NAKATSUKASA, et al
		US 6,270,582		8/7/2001	RIVKIN, et al

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	04017673		1/22/1992	TSUTSUI	Abstract
		GB	869,397		5/31/1961	LIBBY-OWENS-FORD GLASS CO.	
		DD	300 743	A5	7/16/1992	SCHMIDT, et al	Abstract
		JP	03287767		12/18/1991	KIMURA	Abstract
		JP	62018721		1/27/1987	TAKAHASHI	Abstract
		JP	08337878		12/24/1996	SOEJIMA	Abstract

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.

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